

Step 1:

• Complete the Existing Facilities Program Application and sign the Agreement to Terms and Certification (page 2).

Step 2:

For Pre-Qualified Incentives

• Complete the Project Description (page 2) and appropriate Pre-Qualified Measure Worksheet(s).

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For Performance-Based Incentives

• Complete the Project Description (page 2).

Step 3:

- Include a current and complete copy of the facility's utility bill with SBC notation.
- Include specification sheets for all equipment proposed for installation.

Step 4:

Mail the Application and supporting documentation to: NYSERDA

Attn: Existing Facilities Program Coordinator 17 Columbia Circle Albany, NY 12203-6399

Applicant I	ntormation -	l fields must be ayment will be i	completed. ssued to the appli	cant			
Applicant/Comp	any Name			Cor	ntact Name		
Address 1				Day	y Phone ()		
Address 2				Fax	()		
City		State	Zip	E-r	nail		
Federal ID #					cial Security # no Federal ID #)		
	ate box: le proprietor		Partnership corporation, P = p			Other 🗅	Exempt Payee (W-9)
Facility Info	nrmation _	elds must be con ultiple site proje		Based only)	, please attach a spreadshe	eet containing all facili	ty information for each site.
Facility Name				Cor	ntact Name		
Address 1				Day	Phone ()		
Address 2				E-m	nail		
City		State	Zip	Fac	ility Size (square feet)		
Check appropria	ate box to describe you	r facility sector:	1				
☐ Agricultural☐ College/Univ		mercial – Whole Center 🔲	esale / Retail I Government	☐ Health ☐ Hospit		•	(5 units or more) fit ☐ Office
Check appropria	ate box to describe you	r building type:					
□ Assembly	Dormitory	□ Grocery	☐ Industrial—H	eavy	■ Motel	□ Primary School	□ Small Office
☐ Auto	□ Fast Food	☐ Hospital	☐ Industrial-Li	ght	■ Multifamily Low-rise	□ Religious	□ Small Retail
☐ Big Box	☐ Full Service Res	staurant	☐ Industrial Re	efrigeration	☐ Multifamily High-rise	☐ Secondary School	□ University
☐ Community C	College	□ Hotel	■ Large Office		■ Multi-Story Retail	☐ Single Family Res	sidential 🗖 Warehouse

(continued on next page) 12/6/2010





(continued from previous page)

Utility Information - • All applicable fields must be completed. • For multiple site projects (Performance-Based)	only), please attach a spreadsheet containing all utility information for each site.								
Electric Utility	Account Number(s)								
Gas Utility	Account Number(s)								
Project Description - Choose Pre-Qualified OR Performance-Base	ed.								
□ Pre-Qualified Applications for Pre-Qualified (PQ) projects must be submitted for incentives within 90 days of installation or commissioning, whichever is later. PQ Project Completion Date									
OR									
□ Performance-Based Applications for Performance-Based (PB) projects must be submitted PB Anticipated Project Construction Start Date □ Electric (If checked, MUST choose at least one at right:) □ Lighting □ Motors □ Controls/VFDs □ Cooling □ Energy Storage									
☐ Natural Gas									
☐ Combined Heat and Power (CHP applications must include an engine	pering analysis following the requirements in the CHP systems manual)								
☐ Demand Response (If checked, MUST choose one at right:) ☐	On-Site Generation								
☐ Industrial and Process Efficiency (If available, include a process sche	matic)								
☐ Monitoring-Based Commissioning (MBCx)									
Demand Savings (kW) Annual Electric Savings (kWh) Annual Fuel Sa	avings (MMBtu) Annual Cost Savings (\$) Project Cost (\$)								
If applying for Performance-Based incentives, please include information describing the pr and efficiencies, operating schedules, proposed equipment, and efficiencies, etc. If the pr multiple-site project, please provide the above project data (i.e. Demand Savings (kW), An Project Cost (\$)).	oposed equipment produces emissions, list the emissions rate. If applying with a								
Agreement to Terms and Certification									
The Applicant understands that this application may not be approved if the requirements of the program are not met. The Applicant understands that final payment will be contingent on meeting all the terms and conditions of the program. Payment will be issued to the applicant. I certify that the Applicant and the Facility has neither applied for or received, nor will apply for or receive, an incentive or other compensation from an energy efficiency program administered by a utility company listed herein or from NYSERDA for the same energy efficiency measure that is covered by this application.	The Applicant also certifies that: For a Pre-Qualified project: the project measures for which the Applicant is seeking reimbursement were installed or commissioned, whichever is later, within 90 days of this signature. For a Performance-Based project: the date of the contract for the work for which the Applicant is seeking reimbursement was entered into no longer than 90 days prior to this signature. If applying for electric and/or gas incentives, the Applicant certifies that this Facility is a customer of a New York State investor-owned utility and the System Benefits Charge is paid.								
to be issued to them), and 2. The Applicant is not subject to backup withholding because: (a) the Applicant is	1. The Federal ID # and/or social security # shown on this form is the correct taxpayer identification number of the Applicant (or the Applicant is waiting for a number to be issued to them), and 2. The Applicant is not subject to backup withholding because: (a) the Applicant is exempt from backup withholding, or (b) the Applicant has not been notified by the Internal Revenue Service (IRS) that they are subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified the Applicant that they are no longer subject to backup withholding, and								
Applicant/Company Name	Facility Name (Required unless same as Applicant)								
Print Name & Title	Print Name & Title								
Applicant Signature Date	Facility Signature Date								



CFA #	_		
Applicant	_ Facility		
Completing the Natural Gas Efficiency M	easure Worksheets		
 Fill in the appropriate fields for individual natural gas efficiency measures on pages 4 through 8. To calculate the Total Incentive for each measure, multiply the 	 Submit your CFA online along with copies of the following. Be sure to reference your CFA number on all submitted materials: 		
Count (quantity of installed equipment) by the Unit Incentive and enter this amount in the Total Incentive column.	This completed worksheet and any other measure worksheets associated with your project. Gas utility bill from the last 90 days, with SBC notation.		
• Enter the sum of the Total Incentive for each category on the summary worksheet below and add these Total amounts together for the Grand Total amount on the last line.	 2) Gas utility bill from the last 90 days, with SBC not 3) Invoices, including purchase and installation price 4) Equipment specification sheets showing manufacturer(s) and model number(s). 		
 Complete a Consolidated Funding Application: www.nyserda.ny.gov/FundingOpportunities/Consolidated- Funding-Application. 	 Questions regarding the process, incentives, or requirements Contact a NYSERDA representative at: 1-866-NYSERDA x3480 or EFPPQADMIN@NYSERDA.ny.gov. 		
General Eligibility Requirements for Natu			
 Pre-Qualified projects must submit an application for incentives within 90 days of invoice for the purchase and installation of Pre-Qualified measures. 	 ENERGY STAR® requirements for commercial kitchen equipment are located at: www.energystar.gov/index. cfm?fuseaction=find_a_product.showProductGroup&pgw_ 		
Used or rebuilt equipment is not eligible.	code=CKP.		
 ENERGY STAR® requirements for boilers are located at: www.energystar.gov/index.cfm?c=boilers.pr_boilers. 	 Food Service Technology Center requirements for combination ovens are located at: fishnick.com/saveenergy/rebates/combis.pdf. 		
Individual Measure Incentive Totals			
Air Furnaces (page 4)	Total \$		
Non-Condensing Hot Water Boilers (page 4)	Total \$		
Condensing Hot Water Boilers (page 5)	Total \$		
Steam Boilers (page 5)	Total \$		
Unit Heaters (page 6)	Total \$		
Accessory Equipment for Natural Gas-Fired Water Heaters (p	age 6) Total \$		
Commercial Kitchen Equipment (page 7)	Total \$		
Vent Dampers (page 7)	Total \$		
Pipe Insulation (page 8)	Total \$		
Duct Insulation (page 8)	Total \$		
Demand Control Ventilation (page 8)	Total \$		
Grand Total Natural	Gas Efficiency Incentive Requested \$		
Project Type (Please check one)			

☐ New Construction or Major Renovation.

☐ Replacement or Retrofit (Existing Facilities).



Natural Gas-Fired Air Furnace Incentive Table • Units must meet or exceed the listed AFUE efficiency requirements Measure Code Unit Incentive Minimum Efficiency using code-standard testing procedures (DOE 10 CFR Part 430 or ANSI Z21.47) AF-1 \$4 / MBtu/h ≥ 92% AFUE • MBtu/h = 1,000 Btu/h AF-2 \$5 / MBtu/h ≥ 95% AFUE

Natural Gas-Fired Air Furnace Data Collection Table							
Mea- sure Code	Manufacturer	Model Number	A Input Capacity (MBtu/h)	Efficiency (AFUE)	B Count	C Unit Incentive (from above table)	Total Incentive (A x B x C)
Ex: AF-2	Company	XX-XX	250	96%	2	\$5 / MBtu/h	\$2,500
	(enter on page 3) Total Natural Gas-Fired Air Furnace Incentive Requested						

Natural Gas-Fired Non-Condensing Hot Water Boiler Incentive Table Mea-Eligibility Criteria Incentive/ · Qualifying equipment must fit within the appropriate size brackets sure Unit for input capacity (MBtu/h) MBtu/h Efficiency Code • Units 300 MBtu/h input capacity and smaller must be ENERGY B-1 \$600 ≤300 ENERGY STAR¹ or ≥85% AFUE STAR-qualified or exceed 85% AFUE B-2 \$750 301-500 ≥ 85% E, • Units larger than 300 MBtu/h must meet or exceed the listed thermal efficiency (E,) requirements using code-standard testing procedures B-3 \$1,500 501-1,000 ≥ 85% E, (DOE 10 CFR Part 431) B-4 \$2,500 1,001-1,700 ≥ 85% E, • MBtu/h = 1,000 Btu/h \$3,000 > 1,700 ≥ 85% E,

^{1:} See page 3 for links to qualified product lists

Natural Gas-Fired Non-Condensing Hot Water Boiler Data Collection Table								
Mea- sure Code	Manufacturer	Model Number	Input Capacity (MBtu/h)	Efficiency (AFUE or E _i)	A Count	B Incentive/Unit (from above table)	Total Incentive (A x B)	
Ex: B-1	Company	XX-XX	250	90%	2	\$600	\$1,200	
(enter on page 3) Total Natural Gas-Fired Non-Condensing Hot Water Boiler Incentive Requested								



Natural Gas-Fired Condensing Hot Water Boiler Incentive Table

Measure	Incentive/Unit	Eligibility Criteria			
Code	incentive/Onit	MBtu/h	Efficiency		
CB-1	\$1,000	≤300	≥ 90% AFUE		
CB-2	\$1,500	301-500	≥ 90% E _t		
CB-3	\$2,500	501-1,000	≥ 90% E _t		
CB-4	\$3,500	1,001-1,700	≥ 90% E _t		
CB-5	\$4,500	> 1,700	≥ 90% E _t		

- Qualifying equipment must fit within the appropriate size brackets for input capacity (MBtu/h)
- Units must meet or exceed the listed AFUE or thermal efficiency (E,) requirements
- Condensing boilers with return water temperatures above 130°F do not operate efficiently and will not qualify
- MBtu/h = 1,000 Btu/h

Natural Gas-Fired Condensing Hot Water Boiler Data Collection Table

Manufacturer	Model Number	Input Capacity (MBtu/h)	Efficiency (AFUE)	A Count	B Incentive/Unit (from above table)	Total Incentive (A x B)
Company	XX-XX	250	91%	2	\$1,000	\$2,000
			Manufacturer Model Number Capacity (MBtu/h)	Manufacturer Model Number Capacity (AFUE)	Manufacturer Model Number Capacity (MBtu/h) (AFUE) Count	Manufacturer Model Number Capacity (MBtu/h) (AFUE) Count Incentive/Unit (from above table)

Natural Gas-Fired Steam Boiler Incentive Table

(enter on page 3)

Measure	Incentive/Unit	Eligibility Criteria			
	Code		MBtu/h	Efficiency	
	SB-1	\$2/MBtu/h	≤300	≥ 82% AFUE	
	SB-2	\$1/MBtu/h	301-2,500	≥ 79% E _t	
	SB-3	\$1/MBtu/h	2.501-10.000	≥ 80% E.	

- · Qualifying equipment must fit within the appropriate size brackets for input capacity (MBtu/h)
- Units must meet or exceed the listed AFUE or thermal efficiency (E,) requirements using code-standard testing procedures (DOE 10 CFR Parts 430 or 431, respectively)
- Boilers larger than 10,000 MBtu/h may be eligible through NYSERDA's Performance-Based programs
- MBtu/h = 1,000 Btu/h

Total Natural Gas-Fired Condensing Hot Water Boiler Incentive Requested

Natural Gas-Fired Steam Boiler Data Collection Table

Mea- sure Code	Manufacturer	Model Number	Input Capacity (MBtu/h)	Efficiency (AFUE or E _i)	A Count	B Incentive/Unit (from above table)	Total Incentive (A x B)
Ex: SB-1	Company	XX-XX	250	90%	2	\$500	\$1,000
(enter on page 3) Total Natural Gas-Fired Steam Boiler Incentive Requested							\$



Natura	I Gas-Fired Unit He	ater Incent	ive Table	
Measure Code	Description	Unit Incentive	Minimum Efficiency	• Units must meet or exceed the listed thermal efficiency (E _o) or
UH-i	Low-intensity, infrared, natural gas-fired unit heater	\$2.50 / MBtu/h	Any ef- ficiency	AFUE requirements using code-standard testing procedures (ANSI Z83.8) • MBtu/h = 1,000 Btu/h
UH-a	Natural gas-fired warm air unit heater	\$2.00 / MBtu/h	≥ 90% E or AFUE	· Mibitu/11 = 1,000 bitu/11

Natural Gas-Fired Unit Heater Data Collection Table							
Measure Code	Manufacturer	Model Number	A Input Capacity (MBtu/h)	Efficiency (E _c - UH-a only)	B Count	C Incentive/Unit (from above table)	Total Incentive (A x B x C)
Ex: UH-i	Company	XX-XX	1,250	N/A	2	\$2.50 / MBtu/h	\$6,250
	(enter on page 3) Total Natural Gas-Fired Unit Heater Incentive Requested						

Measure Description and Eligibility Criteria	Measure Code	A Count/Area to be insulated (ft²)	B Unit Incentive	Total Incentive (A x B)
Storage Water Heater Tank Insulation ■ Water heater must be natural-gas fired ■ Incentive is paid per square-foot of insulated surface ■ 2-inch fiberglass equivalent or better (R-value ≥ 9)	WH-1		\$1.00/sq. ft.	
New Circulation Controls Applicable for Reducing Standby Losses on Domestic Hot Water Controls must be installed on natural-gas fired hot water heating systems Controls must employ time-of-day scheduling and tem- perature controls to setback hot water temperature during un-occupied periods	WH-2		\$500/unit	



Natural Gas-Fired Commercial Kitchen Equipment Data Collection Table								
Measure Code	Description	Eligibility Criteria	Size	A Count	B Unit Incentive	Total Incentive (A x B)		
GK-1	Fryer	ENERGY STAR qualified ¹	Any size		\$750			
GK-2	Broiler	Cooking efficiency ≥30%, tested using standard ASTM F2835-10	Any size		\$500			
GK-3	Convection oven	ENERGY STAR qualified ¹	Full		\$500			
GK-4	Combination Oven	Food Service Technology Center qualified ¹	Any size		\$750			
GK-5	Steamer	ENERGY STAR qualified ¹	Any size		\$750			
			≤ 2 feet wide		\$350			
			3 feet wide		\$525			
GK-6	Griddle	ENERGY STAR qualified ¹	4 feet wide		\$700			
			5 feet wide		\$875			
			≥ 6 feet wide		\$1,050			
(enter on page 3) Total Natural Gas-Fired Commercial Kitchen Equipment Incentive Requested								

^{1:} See page 3 for links to qualified product lists

Vent Damp	Vent Damper Data Collection Table							
Measure Code	Damper Number	A Input Capacity (MBtu/h)	B Unit Incentive	Total Incentive (A x B)				
HE-2	1	Ex: 400 MBtu/h	\$1.00/MBtu/h	\$400	Vent dampers installed on existing natural gas-fired heating systems only			
HE-2	1		\$1.00/MBtu/h		 New construction projects are not eligible Input capacity refers to the capacity of the 			
HE-2	2		\$1.00/MBtu/h		system on which the vent damper is being installed			
HE-2	3		\$1.00/MBtu/h		• MBtu/h = 1,000 Btu/h			
(er	nter on page 3)	Total Vent Damper Ince	\$					



Pipe II	Pipe Insulation Data Collection Table								
Measure Code	Pipe Section	Contents of Pipe (domestic hot water; hot water for heating; steam)	Diameter of Pipe (in)	A Length of Pipe Insulated (ft)	B Incentive/ft	Total Incentive (A x B)			
HE-3	1	Ex: Domestic hot water	2	30	\$3.00/ft	\$90	Pipe insulation for natural gas- fired hot water or steam boiler		
HE-3	1				\$3.00/ft		systems only Insulation R-value of at least 4		
HE-3	2				\$3.00/ft		New construction projects are not eligible		
HE-3	3				\$3.00/ft		not digible		
	(enter on page 3) Total Pipe Insulation Incentive Requested								

Duct Ir	Duct Insulation Data Collection Table								
Measure Code	Duct Section	Dimensions of Duct (width x height) (in)	A Length of Ductwork Insulated (ft)	B Incentive/ft	Total Incentive (A x B)	Duct insulation for natural			
HE-4	1	Ex: 20" x 24"	30	\$0.50/ft	\$15	gas-fired heating systems only			
HE-4	1			\$0.50/ft		Ductwork traveling through uninsulated plenum space			
HE-4	2			\$0.50/ft		onlyInsulation R-value of at least 6			
HE-4	3			\$0.50/ft		New construction projects are not eligible			
		(enter on page 3) Total	\$						

Demand Control Ventilation Data Collection Table								
Measure Code	Area of Conditioned Space (square feet)	Manufacturer and Model Number	A Count	B Unit Incentive	Total Incentive (A x B)	This measure is available on a retrofit-basis only except in the case of new construction spaces less than 500 square feet or with an average occupant load of fewer than 40 people per 1000 square feet		
HE-6 (DCV)	Ex: 2,800 s.f.	Company XX-XX	2	\$200/sensor	\$400			
HE-6 (DCV)				\$200/sensor				
	(enter on page 3)	Total Demand Control Ventilat	e Requested	\$				

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